# Course Overview

Anupam Datta John Mitchell

Stanford CS 329T, Spring 2021

## Course Logistics

- Lectures/"Fireside chats": Tue 2:30pm-3:50pm PST
- Labs (attendance required in your assigned session):
  - Session 1/2: Thu 2:30pm-3:30pm PST
  - Session 3/4: TBD (based on your input)

THIS WEEK: Thurs 2:30 for all

- Web page: <a href="http://web.stanford.edu/class/cs329t/">http://web.stanford.edu/class/cs329t/</a>
- Gradescope (assignment submissions)
- Canvas (grades)
- Piazza (announcements, for all other communication)
- Stanford Honor Code

#### Course staff

- Instructor: Anupam Datta
  - Email: danupam@stanford.edu
  - Office hours: TBD
- Instructor: John C. Mitchell
  - Email: jcm@cs.stanford.edu
  - Office hours: Wed 11-12 noon (starting April 7)
- Office hours available remotely:
  - Zoom meeting links on website

### Course staff

- TA: Soham Gadgil
  - Email: sgadgil@stanford.edu
  - Office hours: TBD
- TA: Shreya Singh
  - Email: ssingh16@stanford.edu
  - Office hours: TBD
- Office hours available remotely:
  - Zoom meeting links on website

#### Course staff

- Contributing instructor: Divya Gopinath
  - Email:
  - Office hours:
- Contributing instructor: Piotr (Peter) Mardziel
  - Email: piotrm@gmail.com
  - Office hours:
- Office hours available remotely:
  - Zoom meeting links on website

## Today

- Goals
- Modules
  - Background
  - Explainability
  - Fairness
  - Privacy
  - Robustness
- Classwork
  - One homework for each module

- Logistics covered further in lab Thursday 2:30
  - Course Format
  - Prerequisites
  - Grading
  - Policies

## Machine Learning Systems are Ubiquitous





Big Data in Government, Defense and Homeland Security 2015 - 2020



# NEW YORK, May 12, 2015 /PRNewsv How Big Data Could Replace Of Rig Data to Health Your Credit Score

Credit scores are useful in determining who gets loans, but they're far from perfect. AvantCredit determines loan-worthiness based on all sorts of factors, including your use of social media and prepaid cell phones.



[+] Author Affiliations

#### Big Data in Education

Learn how and when to use key methods for educational data mining and learning analytics on large-scale educational data.







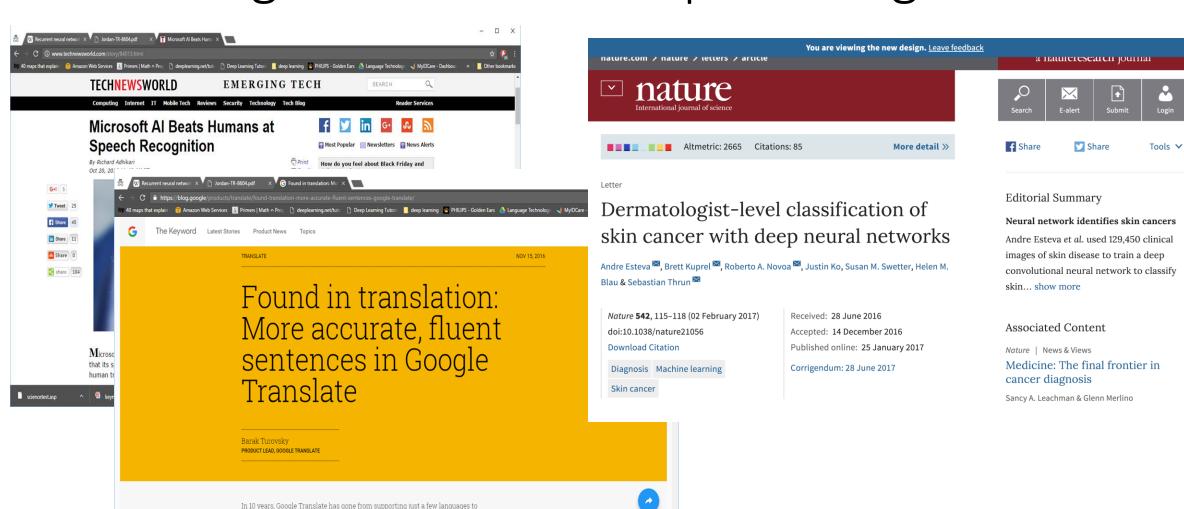


## Continuing successes of deep learning

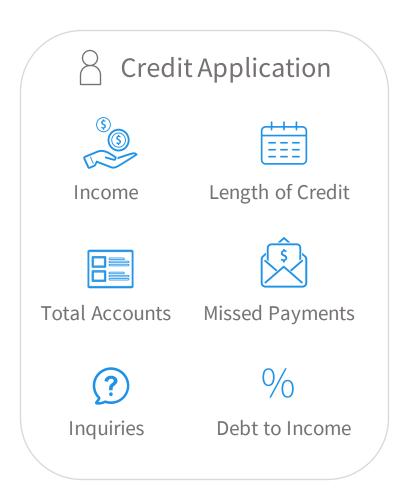
103, connecting strangers, reaching across language barriers and even helping

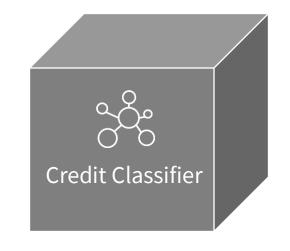
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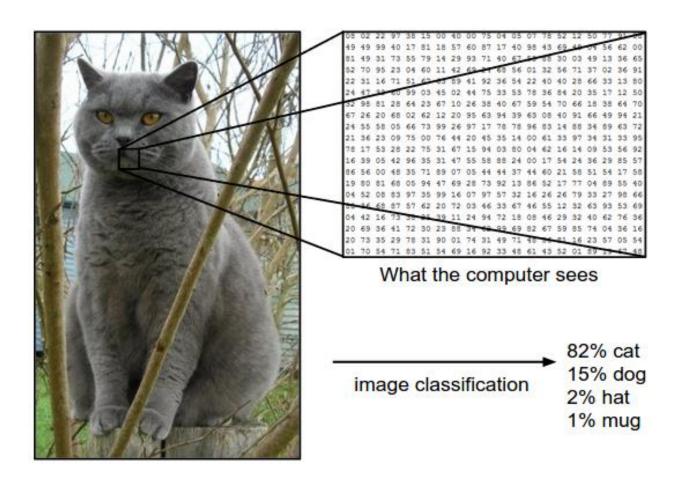
### ML Models over Structured Data



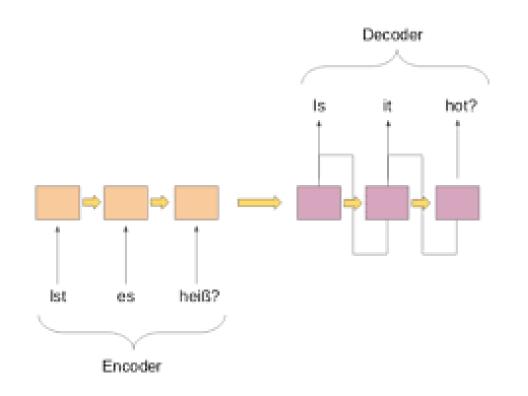


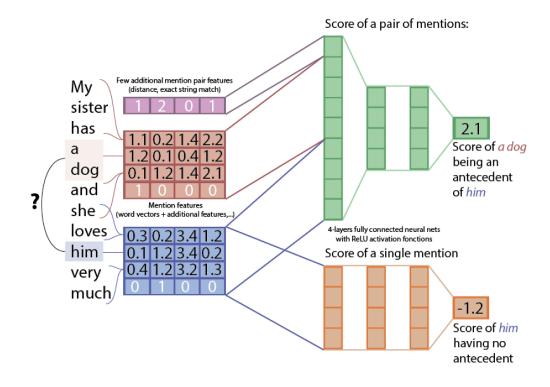


# ML Models over Image Data



## ML Models for Natural Language Processing





## Significant Barrier to Adoption of ML

### Assessing and improving trustworthiness of ML systems

- National Academies Workshop (March 2021)
- Promoting the Use of Trustworthy Artificial Intelligence in the Federal Government (December 2020)
- <u>EU Ethics Guidelines for Trustworthy Artificial Intelligence</u> (December 2018)
- <u>FEAT Principles</u> (November 2018)
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### Attributes of Trustworthiness

- Explainability
- Fairness
- Privacy
- Robustness
- Accuracy
- Auditability
- Reproducibility
- •

## Course objective

Understand how to assess and improve trustworthiness of ML Models

### Course modules

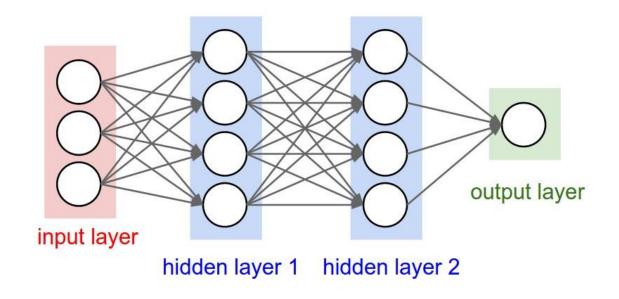
Pre-req/review: Fundamentals of machine learning & deep learning

Elements of Trustworthy Machine Learning

- 1. Explainability
- 2. Fairness
- 3. Privacy
- 4. Robustness

Spanning traditional
Statistical Machine
Learning and
Deep Learning

## Course module 0: Machine Learning Review





### Homework 1

#### Practice using basic tools:

- Scikit-learn
- Numpy
- Keras

#### By implementing digit classifiers using

- Logistic regression
- Deep neural network models

#### Sample application exercises with logistic regression:

- Explanations
- Adversarial examples
- Model stealing





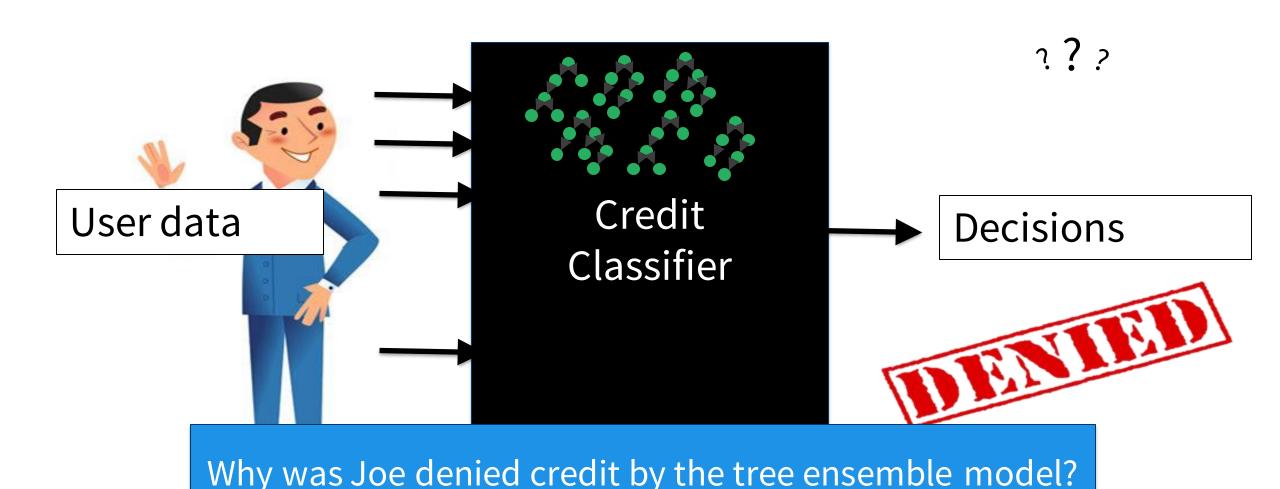


## Course module 1: Explainability

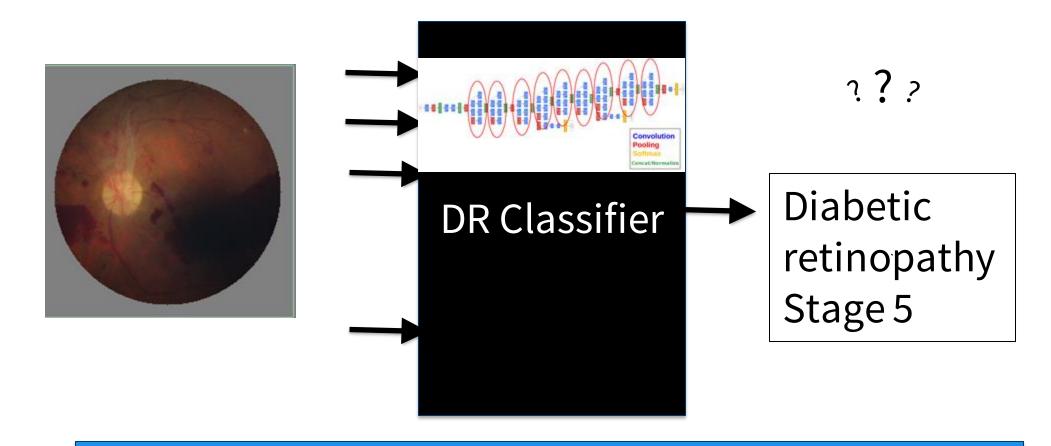
Explanations enable understanding and debugging of ML models

- How can we explain individual predictions from ML models?
- How can we explain an ML model's behavior at a global level?
- How do we evaluate explanation methods in a principled manner?
- How can explanations enable testing, debugging, and improvement of ML models?

## Explanations: Structured Data, Statistical ML Models



### Explanations: Unstructured Data, Deep Neural Networks



Why this diagnosis from the GoogleNet neural network?

### Homework 2

#### Explanations for traditional models

- Model-agnostic: Shapley Values, local approximations (QII, LIME, SHAP)
- Model-specific optimizations: TreeSHAP



- Saliency Maps
- Integrated Gradients
- Influence-directed Explanations







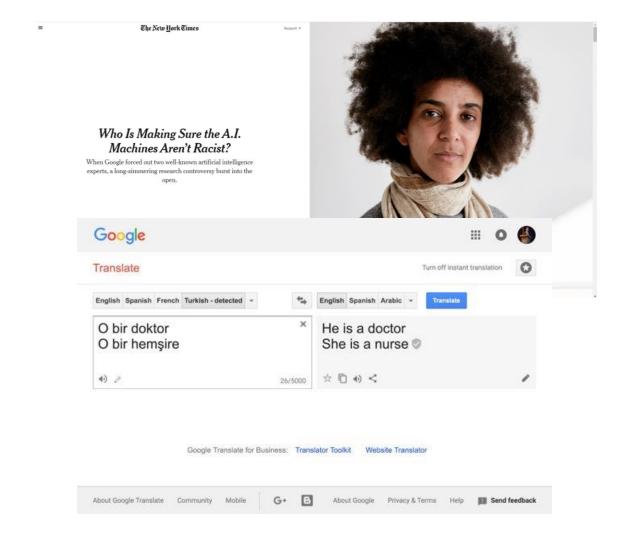
#### What will I be doing?

- Understand, reason about, and extend the theoretical principles backing explanation frameworks like
   QII, LIME, SHAP, Integrated Gradients, etc.
- Implement a subset of these methods yourself (LIME, some deep explanations)
- Play around with open-source packages that enable explanations (SHAP, Trulens)

### Course module 2: Fairness

Unfair bias measurement and mitigation

#### Fairness Matters



**Facial Recognition** 

Online Advertising

Natural Language Processing

### Homework 3

#### Fairness metrics

- Demographic fairness in classification models (structured data, deep neural networks)
- Biased word embeddings (text data, word2vec)

#### Debiasing

- Adversarial training (deep neural networks)
- Subspace projection (text data, word2vec)

## Course module 3: Privacy

ML Models may leak information about their training data subjects

- How do we formalize privacy risks from ML?
- How do we mitigate these privacy risks?

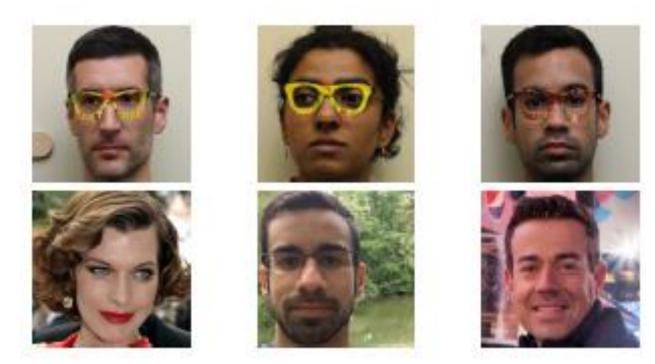
### Homework 4

#### Privacy risks

• Membership inference: models that remember too much about training data (deep networks, image data).

### Course module 4: Robustness

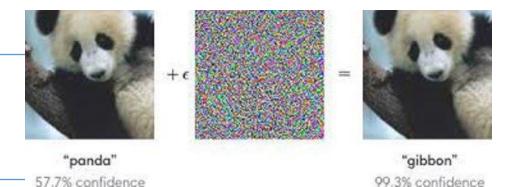
Attacks on classifiers and defenses



#### Homework 5

#### Adversarial attacks.

CNN's over image datasets.



#### Defenses.

• Defensive distillation, adversarial training

## Summary

- Goal
  - Understand how to assess and improve trustworthiness of ML Models
- Modules
  - Background
  - Explainability
  - Fairness
  - Privacy
  - Robustness
- Classwork
  - One homework for each module
  - Final project (10% of grade)

#### Course Structure

- Activities: Offline lectures, "fireside chats", labs
- Weekly:
  - Offline/prerecorded lectures
    - Prerecorded video
  - Fireside chats
    - Occasionally guest lectures
  - Labs
    - Background, software, homework intros, homework help

# Prerequisites

## Grading

- Homework: 80%
  - 5 x 16%
- Final report: 10%
- Class participation: 10%
  - Be present and engaged in class and piazza
  - Informed questions for guest lecturers

# Collaboration policy on homework

## Acknowledgment

- Builds on material from
  - CMU Spring 2018-2020 18739: Security and Fairness of Deep Learning